

Practitioner's Docket No. 712-002.165

2872
PATENT *[Signature]*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael A. Davis et al. : Confirmation No. 6438

Serial No.: 09/648,525 : Examiner: A. V. Amari

Filed: August 26, 2000 : Group Art Unit: 2872

Title: **OPTICAL FILTER HAVING A SHAPED FILTER FUNCTION**

MAIL STOP AMENDMENT

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

AMENDMENT

1. Transmitted herewith is an Amendment for this application.

STATUS

2. Applicant is

☐ a small entity. A statement:

☐ is attached.

☐ was already filed.

☒ other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first-class mail, in an envelope addressed to the Director of the United States Patent and Trademark Office, Alexandria, VA 22313

FACSIMILE

☐ transmitted by facsimile to the U.S. Patent and Trademark Office.

Date: 12/29/2004

[Signature]
Signature

Debra A. Pongetti
(type or print name of person certifying)

01/04/2005 YPOLITE1 00000042 09648525

01 FC:1253

1020.00 OP

(Amendment Transmittal [9-19] - page 1 of 4)

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) - If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. §1.645 for extensions of time in interference proceedings, and 37 C.F.R. §1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. §1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. §1.136 (fees: 37 C.F.R. §1.17(a)(1)-(4)) for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 120.00	\$ 60.00
<input type="checkbox"/> two months	\$ 450.00	\$225.00
<input checked="" type="checkbox"/> three months	\$1,020.00	\$510.00
<input type="checkbox"/> four months	\$1,590.00	\$795.00

Fee: \$ 1,020.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured. The fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL:	MINUS	= 0	x\$9 = \$			x18 = \$	
INDEP:	MINUS	= 0	x\$42 = \$			x84 = \$	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+ \$140 = \$			+ \$280 = \$	
				TOTAL ADDL. FEE	\$	TOTAL ADDL. FEE	\$

WARNING: "After final rejection or action (§1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. §1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required is \$_____.

FEE PAYMENT

5. ☒ Attached is a check in the sum of \$ 1,020.00.

☐ Charge Account No. _____ the sum of \$ _____. A duplicate of this transmittal is attached.


FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986 (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 23-0442.

AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 23-0442.



SIGNATURE OF PRACTITIONER
William J. Barber
Attorney for Applicant(s)

Reg. No.: 32,720

Telephone No.: (203) 261-1234

Customer No.: 004955

Ware, Fressola, Van Der Sluys & Adolphson LLP
Bradford Green, Building Five
755 Main Street, P.O. Box 224
Monroe, Connecticut 06468



PATENT
WFVA/CiDRA File Nos.: 712-002.165/CC-0273

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael A. Davis et al. : Confirmation No. 6438
Serial No.: 09/648,525 : Examiner: A. V. Amari
Filed: August 26, 2000 : Group Art Unit: 2872
Title: OPTICAL FILTER HAVING A SHAPED FILTER FUNCTION

MAIL STOP AMENDMENT

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

AMENDMENT

Dear Sir:

In response to the Office Action mailed June 29, 2004,
please amend the above-identified application as follows.¹

¹ I hereby certify that this correspondence is, on the date shown below,
being transmitted by first class mail to the United States Patent and Trademark
Office, Alexandria, Virginia 22313-1450.


Debra A. Ponget


Date